IUCLID Configuration for SAML-Based SSO with Azure Active Directory



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Changes to this document

Date	Modification
23/08/2023	Chapter 4.3: updated the configuration to enable SSO in IUCLID moving specific parameters from <jvm-options> to <system-property></system-property></jvm-options>
01/11/2022	Removed ending slash from the values of Identifier (Entity ID) and Reply URL
	Added extra configuration step to make sure Reply and Assertion are both signed.
	Added Sign-on URL config option to support IUCLID access through MS application directory.
26/04/2022	First public release.
12/01/2022	First version.

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1. Introduction

The purpose of this document is to provide instructions regarding the configuration of the IUCLID application, for SAML-based Single Sign On (SSO) with Azure Active Directory acting as an external identity provider (IDP).

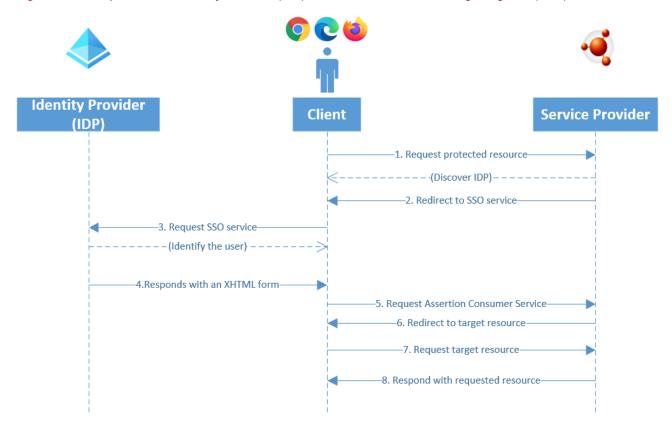
A successful integration of IUCLID with an external identity provider via SSO requires the collaboration between business and IT units of your organisation. They should work together to review existing authorisation policies both at organisation level and at IUCLID level. These policies should be reviewed to capture a streamlined configuration that's reflects the desired access to data and actions in IUCLID.

1.1. About SAML

Security Assertion Markup Language (SAML) is an open standard for exchanging authentication and authorization data between parties: an identity provider and a service provider.

The single most important use case that SAML addresses is single sign on (SSO) via a web browser. A user employs a user agent, usually a web browser, to request a web resource that is protected by a SAML service provider. The service provider, wishing to know the identity of the requesting user, issues an authentication request to a SAML identity provider through the user agent. The resulting protocol flow is depicted in the following diagram:

Figure 1: The protocol for Identity Provider (IDP) and Service Provider in Single Sign On (SSO)





Note: In this document the IUCLID application acts as the SAML service provider (above right), and Azure Active Directory has the role of the SAML identity provider (above left). The client in the middle of the above diagram is the user acting from a web browser.

The SAML standard defines a set of XML-based messages for security assertions:

- SAML Request, example fields: ID, Issuer, Assertion consumer URL
- SAML Response, example fields: ID, Issuer, In response to (ID), Recipient, Subject

The SAML messages are signed and potentially encrypted.

1.2. Mapping Azure Active Directory user data to IUCLID user data

The authentication and authorisation setup of IUCLID is built upon 4 main concepts:

- **Legal entities**: Several legal entities can be assigned to a user, however, when logged in, only one legal entity can be the user's *working legal entity*. This working legal entity is passed to the entities the user creates, e.g. substances, mixtures.
- Roles: Each role includes a set of permissions that determine the actions users can
 perform (read, write, delete) with each type of entity (substance, mixtures, dossiers, etc.) or
 inventory (reference substances, legal entities, etc.). Special permissions are included for
 general operations (print, export, import) and for system administration.
- **Security groups**: If Instance Based Security (IBS) is enabled, access is defined per individual entity, and can also be limited to the users belonging to certain security groups.
- **Users**: Per user, IUCLID stores the basic user information (username, first name, last name, etc.), and also the legal entities, roles, and security groups assigned to the user.

For more information about these concepts, refer to the document: <u>Functionalities of IUCLID in the</u> web interface.

The maintenance of user information can be delegated from IUCLID to an external identity provider (IDP), like Azure Active Directory, that supports Single Sign On (SSO) using the SAML standard. Thus, a centralised system can hold the user information, including the password. However, the data objects that will be assigned to users must first exist in IUCLID, e.g. IUCLID Roles, IUCLID Security Groups, and IUCLID Legal entities.

The main objects which need to be managed in Azure Active Directory are:

- **Users**: The user object contains information about the individual including password and logon credentials.
- **Groups**: Groups are primarily used for the purpose of managing and securing groups of users. Groups can also be used for representing different access rights of users in different systems of an organization.

Users are created in Azure Active Directory (AD) and they are assigned to different Azure AD Groups. An Azure AD user will correspond to a IUCLID user.

During configuring SSO in IUCLID it is possible to do the following mappings:

- Azure AD Groups -> IUCLID Roles
- Azure AD Groups -> IUCLID Security Groups (only if IBS is enabled in IUCLID)



Azure AD Groups -> IUCLID Legal Entities

Different strategies can be applied when defining Azure AD Groups and IUCLID Roles/Security Groups/Legal Entities:

- One-to-one mapping: One Azure AD Group can correspond to a single IUCLID Role/Security Group/Legal Entity
- One-to-many mapping: One Azure AD Group can correspond to multiple IUCLID Roles/Security Groups/Legal Entities
- Combination of the above

After a successful authentication with a 3rd party SAML IDP provider, the IUCLID application updates the user information in its local database, and assigns the user to the relevant IUCLID Roles, IUCLID Security Groups, and IUCLID Legal entities.

2. Prerequisites

IUCLID 6 v6 is installed successfully. If you want to define IUCLID security groups in your SSO configuration Instance Based Security needs to be manually enabled during the installation process. See <u>Installation and Update Instructions for IUCLID6 Server</u> for details.

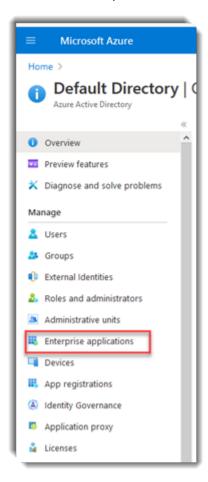
Azure Active Directory is available.



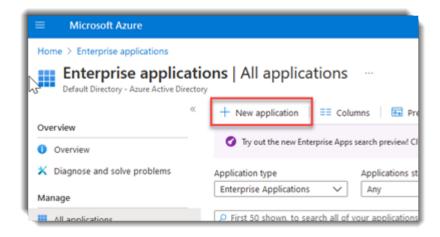
3. Configuring Azure Active Directory

3.1. Add the IUCLID application to the Azure AD tenant

In the Azure AD portal, select Enterprise applications.

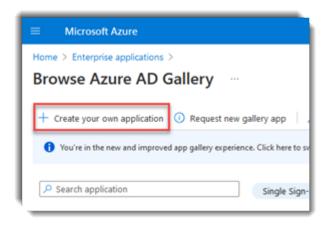


Click on New application.



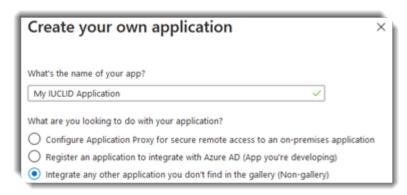


Click on Create your own application.

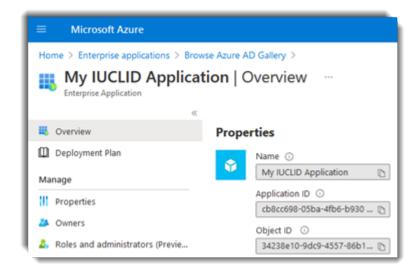


Enter the application name and select the option:

Integrate any other application you don't find in the gallery (Non-gallery).



The application is created.

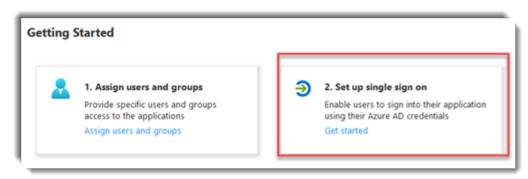


• IUCLID 6

3.2. Set up SAML-based SSO for IUCLID in the Azure AD tenant

In the Azure AD portal in the overview page of the newly created application:

Click on Set up single sign on.

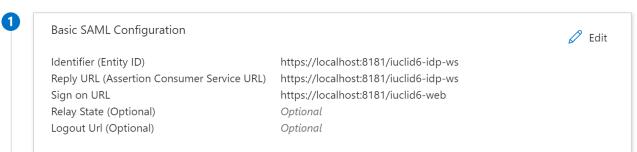


Select the single sign on method SAML.



Set up Single Sign-On with SAML:

- Basic SAML configuration:
 - o Identifier (Entity ID): https://<IUCLID URL>/iuclid6-idp/ws E.g.: https://localhost:8181/iuclid6-idp-ws
 - Reply URL (Assertion Consumer Service URL): https://<IUCLID URL>/iuclid6-idp/ws
 E.g.: http://localhost:8181/iuclid6-idp-ws
 - o Sign-on URL: https://<IUCLID URL>/iuclid6-web E.g.: https://localhost:8181/iuclid6-web

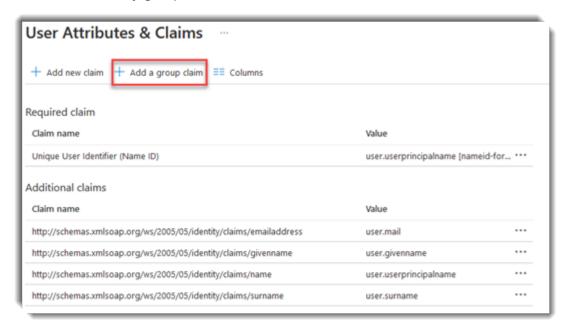


- User Attributes & Claims
 - user.givenname
 - user.surname
 - user.mail

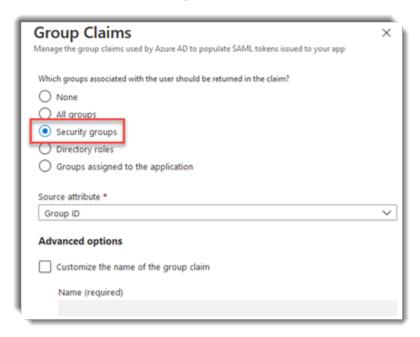
iuclid_saml_sso_configuration_for_azure_ad_en.docx



- user.userprincipalname
- o user.groups [SecurityGroup]
- Use user.userprincipalname as the Unique User Identifier
- Add the security groups to User Attributes & Claims



In the opened dialog select Security groups.

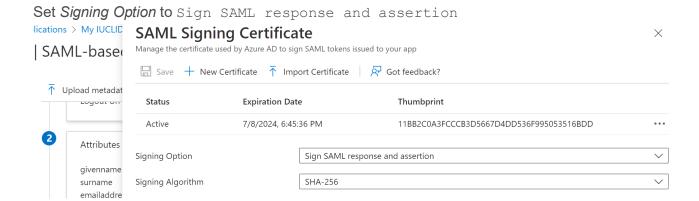


In the section SAML Certificates (#3) press Edit:



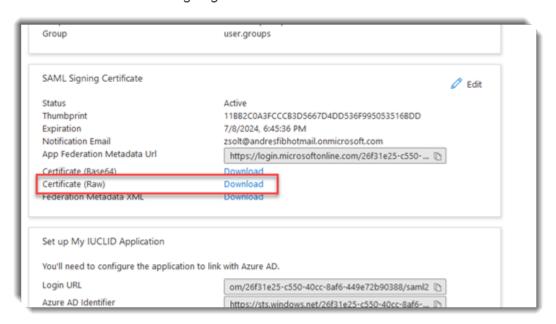
iuclid saml sso configuration for azure ad en.docx





3.3. Collect information needed to configure SSO in IUCLID

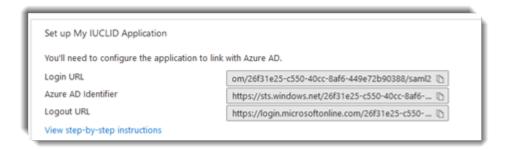
Download the file SAML Signing Certificate.



Make a record of the values of the following parameters, for later use:

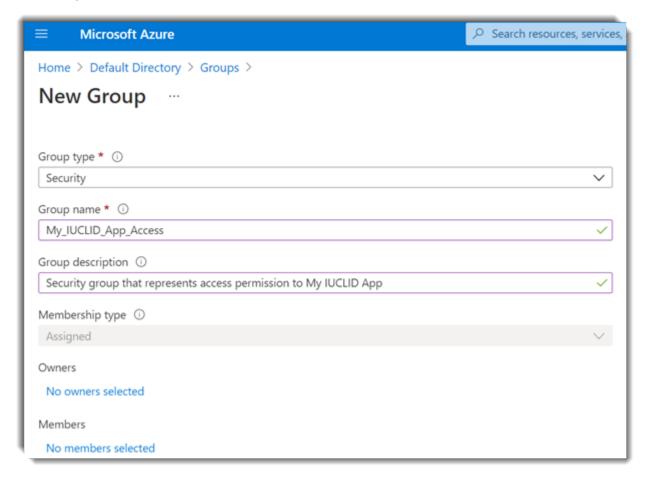
- Login URL
- Azure AD Identifier
- Logout URL





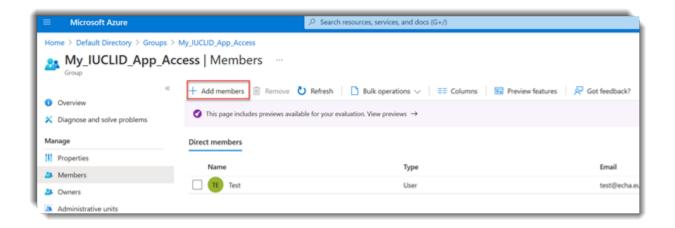
3.4. Create a group that represents access permission to IUCLID

Create a group that represents access permission to the instance of the IUCLID application.



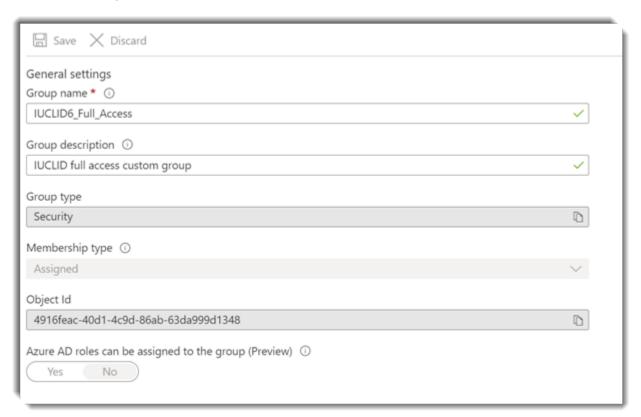
Add users to the group.





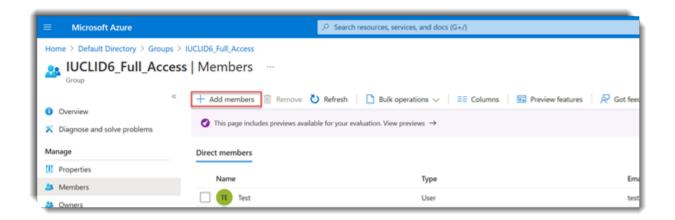
3.5. Create groups in Azure AD that map to IUCLID Roles and IUCLID Security Groups

Create Azure AD security groups that will be mapped to IUCLID Roles and IUCLID Security Groups in the IUCLID application. For example, an Azure AD security group that can be mapped to the IUCLID Role, *Full Access*.



Add users to the group.

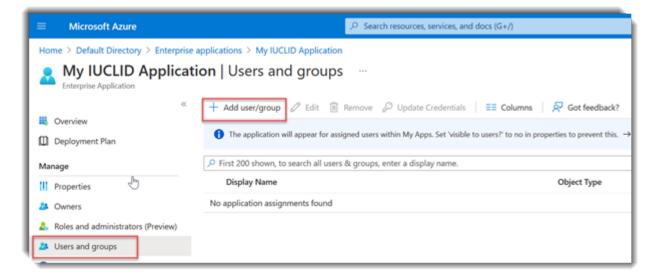




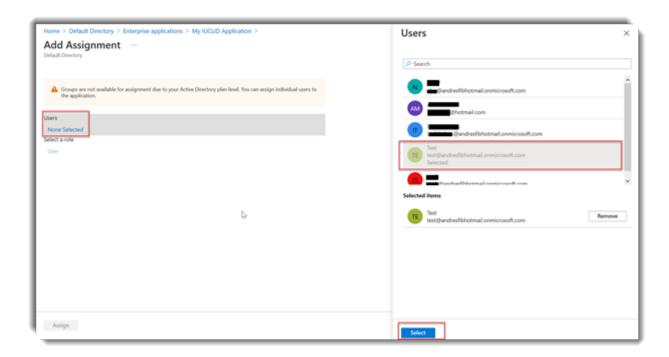
3.6. Assign users/groups in Azure AD to the IUCLID application

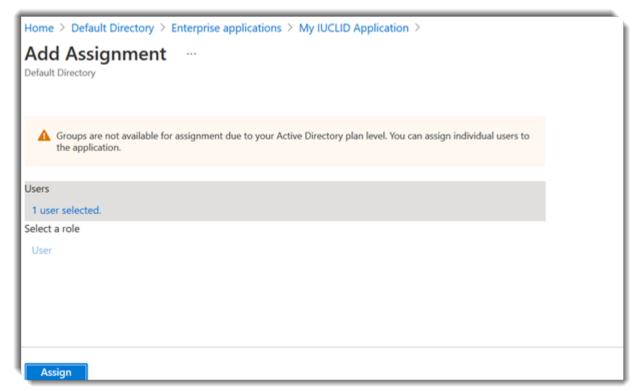
In Azure AD users can be assigned to a given application, as such specifying the set of users who can access the application. This serves the same purpose as the special security group that represents access permission to IUCLID (see above). Select *Add user/group* under:

Enterprise Applications > My IUCLID Application > Users and groups

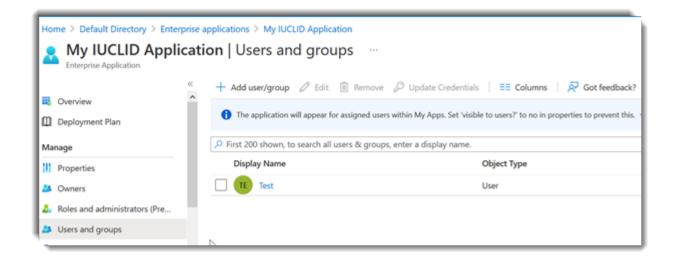












4. Configuring IUCLID

The configuration files referred to in this section are in the IUCLID installation at:

```
<iuclid6-installation-
folder>\glassfish4\glassfish\domains\domain1\config\
```

4.1. Configure public certificate of the external IDP

Import into the keystore of IUCLID, the *SAML Signing Certificate* which was downloaded in an earlier step. This is done from the command line, in the folder:

```
<iuclid6-installation-
folder>\glassfish4\glassfish\domains\domain1\config\
```

Ensure that the following file is present. It is delivered with IUCLID.

```
sso-default-third-party.jks
```

Ensure that the SAML Signing Certificate is present, for example in a file named:

```
My-IUCLID-Application.cer
```

Execute the command:

```
keytool -importcert -file My-IUCLID-Application.cer -keystore sso-
default-third-party.jks -alias SamlSigningCertificate
```

The default password for the keystore file is admin12345.

4.2. Configure user data synchronization from IDP to IUCLID

Create a text file named idp-user-sync-config.yml in the folder:

```
<iuclid6-installation-
folder>\glassfish4\glassfish\domains\domain1\config\
```

Note: an example is provided with this document, that can be used as a starting point. For more information on the different configuration parameters please see the annex.

The configuration file serves two main purposes:

```
iuclid saml sso configuration for azure ad en.docx
```



- 1. Specifies how to read the SAML XML attributes in the response that is returned from IDP after a successful authentication. This is required to perform synchronization of user-data and to validate access, e.g.:
 - a. A single IDP group or role indicating access permission to this specific IUCLID instance;
 - b. User account data that is saved to the IUCLID database: username, first name, last name, email;
 - c. List of mappings of groups in IDP, to Roles in IUCLID. This is used to assign IUCLID roles to the authenticated username;
 - d. List of mappings of groups in IDP, to security groups in IUCLID. This is used to assign IUCLID security groups to the authenticated username. This setting is optional and is relevant only if Instance Based Security (IBS) is enabled in IUCLID;
 - e. List of mappings of groups in IDP, to Legal entities in IUCLID. This is used to assign IUCLID Legal entities to the authenticated username. This setting is optional
- 2. Defines SAML specific configuration parameters:
 - a. The URL of the external SAML provider;
 - b. The path to the keystore file where the IDP's SAML signing certificate is stored;
 - c. The alias of the IDP's SAML signing certificate provided when adding it in the keystore;
 - d. The alias of IUCLID's SAML request signing certificate;
 - e. The password of IUCLID's SAML request signing certificate;
 - f. The URL that will be used when performing log-out.

The image below is a screenshot from a text editor showing an example of the configuration file idp-user-sync-config.yml

It shows the first part of the file, which contains the configuration of how to read the attributes from the SAML response XML, based on the values in Azure AD, such as group object IDs, and the settings under Single Sign-On with SAML > User Attributes & Claims.



```
# Configure the IDP Group that represent access to this IUCLID instance.
    # In this example 430d72f5-b91d-4902-9f2b-6ce0e54cce40 is the ID of
    "My IUCLID App Access" Group from Azure AD
   ∃instanceAccess:
      samlAttributeName: http://schemas.microsoft.com/ws/2008/06/identity/claims/groups
      samlAttributeValue: 430d72f5-b91d-4902-9f2b-6ce0e54cce40
    # Configure the SAML attribute that holds the user-name value
   □userUserName:
8
     samlAttributeName: http://schemas.xmlsoap.org/ws/2005/05/identity/claims/name
  # Configure the SAML attribute that holds the user's first name value
samlAttributeName: http://schemas.xmlsoap.org/ws/2005/05/identity/claims/givenname
   # Configure the SAML attribute that holds the user's last name value
13 □userLastName:
14
     samlAttributeName: http://schemas.xmlsoap.org/ws/2005/05/identity/claims/surname
   # Configure the SAML attribute that holds the user's email-address value
16 ⊟userEmail:
    samlAttributeName: http://schemas.xmlsoap.org/ws/2005/05/identity/claims/emailaddress
17
   # Configure the SAML attribute that holds the list of values that could be mapped to
    IUCLID Roles. Specify the one-to-one mappings.
    # In this example 4916feac-40d1-4c9d-86ab-63da999d1348 is the ID of
     "IUCLID6_Full_Access" Group from Azure AD. It is configured to map to a IUCLID Role
    named "Full access"
20 Froles:
     samlAttributeName: http://schemas.microsoft.com/ws/2008/06/identity/claims/groups
22 | mappings:
23 🖨
        - samlAttributeValue: 4916feac-40d1-4c9d-86ab-63da999d1348
24
          iuclidValue: Full access
        - samlAttributeValue: eaf45536-6667-468c-adec-40af5b193291
          iuclidValue: Test role
26
   # Configure the SAML attribute that holds the list of values that could be mapped to
    IUCLID Legal Entities. Specify the one-to-one mappings and provide fallback values.
    # In this example ef469666-9752-4459-8dab-0bebe70b2f74 is the ID of "Test Group for a
    IUCLID LE" Group from Azure AD. It is configured to map to a IUCLID Legal Entity
    named "Test Legal Entity". If no corresponding IUCLID Legal entity is found then the
    user will be assigned to a default/fallback Legal entity, named "My LE"
29 plegalEntities:
      samlAttributeName: http://schemas.microsoft.com/ws/2008/06/identity/claims/groups
31 mappings:
32 🖨
        - samlAttributeValue: ef469666-9752-4459-8dab-0bebe70b2f74
          iuclidValue: Test Legal Entity
34 | fallbacks:
35
        - My LE
36
    # Configure the SAML attribute that holds the list of values that could be mapped to
    IUCLID Security Groups. Specify the one-to-one mappings and provide fallback values.
    # In this example b97cc4dc-8d5d-425d-8ddd-c3763970a935 is the ID of "Test Group for a
    IUCLID Group" Group from Azure AD. It is configured to map to a IUCLID Security group
    named "Test group". If no corresponding IUCLID Security group is found then the user
    will be assigned to a default/fallback group, named "Common"
38 □groups:
39
      samlAttributeName: http://schemas.microsoft.com/ws/2008/06/identity/claims/groups
40 | mappings:
41 🖨
        - samlAttributeValue: b97cc4dc-8d5d-425d-8ddd-c3763970a935
42
          iuclidValue: Test group
43
          manager: true
44 | fallbacks:
45
        - Common
```

In the lower part of the file, configuration parameters specific to SAML are defined.



```
46 # Configure the URL of the SAML IDP provider
     # In this example it is the value of the "Login URL" as specified in Azure AD under
    SAML based SSO settings
    iuclid6.internal.idp.saml.provider.url:
    https://login.microsoftonline.com/26f31e25-c550-40cc-8af6-449e72b90388/saml2
49 # Configure the alias of the 3rd party identity provider certificate you provided
    when adding it in the keystore
    trusted.certificate.alias: SamlSigningCertificate
    # Configure the location of the keystore file containing the 3rd party identity
    provider certificate. It is recommended to keep the below default value.
52
    keystore.file: ${com.sun.aas.instanceRoot}/config/sso-default-third-party.jks
    # Configure the keystore password. Default value is "admin12345"
54 keystore.pass: admin12345
    # Configure the alias of TUCLID's own certificate. It is recommended to keep the
    below default value.
56
    sp.certificate.alias: sso-sp
    # Configure the URL that will be used when performing log-out
58 slo.redirect.path: /iuclid6-web/index.html
```

4.3. Enable SSO in IUCLID

In IUCLID, the configuration file for IDP/SSO is declared, and user management via the web interface is turned off. The settings are in the file domain.xml in the folder:

```
<iuclid6-installation-
folder>\glassfish4\glassfish\domains\domain1\config\
```

Set the following system-properties elements in the section:

```
<config name="server-config">
```

Option	Description	Value
iuclid6.admin.user.create	Enables/disables user creation in the web UI.	false
iuclid6.admin.user.assignToRole	Enables/disables role assignment to users in the web UI.	false
iuclid6.admin.user.assignToGroup	Enables/disables group assignment to users in the web UI.	false
idp.sso.config	Path to the configuration file for the synchronization of user data.	<path></path>

Example:



```
<system-property name="idp.sso.config"
value="${com.sun.aas.instanceRoot}/config/idp-user-sync-config.yml"></system-
property>
...
```

After doing the above steps restart the IUCLID application for the changes to take effect.



Appendix A. Example of the file idp-user-sync-config.yml

Configure the IDP Group that represent access to this IUCLID instance.

In this example 430d72f5-b91d-4902-9f2b-6ce0e54cce40 is the ID of the Group from Azure AD that is named My IUCLID App Access.

instanceAccess:

samlAttributeName:

http://schemas.microsoft.com/ws/2008/06/identity/claims/groups samlAttributeValue: 430d72f5-b91d-4902-9f2b-6ce0e54cce40

Configure the SAML attribute that holds the user-name value

userUserName:

samlAttributeName: http://schemas.xmlsoap.org/ws/2005/05/identity/claims/name

Configure the SAML attribute that holds the user's first name value

userFirstName:

samlAttributeName:

http://schemas.xmlsoap.org/ws/2005/05/identity/claims/givenname

Configure the SAML attribute that holds the user's last name value

userLastName:

samlAttributeName:

http://schemas.xmlsoap.org/ws/2005/05/identity/claims/surname

Configure the SAML attribute that holds the user's email-address value

userEmail:

samlAttributeName:

Configure the SAML attribute that holds the list of values that could be mapped to IUCLID Roles. Specify the one-to-one mappings.

In this example 4916feac-40d1-4c9d-86ab-63da999d1348 is the ID of the Group from Azure AD that is named IUCLID6 Full Access. It is configured to map to a IUCLID Role named Full access.

roles:

samlAttributeName:

http://schemas.microsoft.com/ws/2008/06/identity/claims/groups
mappings:

- samlAttributeValue: 4916feac-40d1-4c9d-86ab-63da999d1348 iuclidValue: Full access

- samlAttributeValue: eaf45536-6667-468c-adec-40af5b193291

iuclidValue: Test role

Configure the SAML attribute that holds the list of values that could be mapped to IUCLID Legal Entities. Specify the one-to-one mappings and provide fallback values.

In this example ef469666-9752-4459-8dab-0bebe70b2f74 is the ID of the Group from Azure AD that is named Test Group for a IUCLID LE. It is configured to map to a IUCLID Legal Entity named Test Legal Entity. If no corresponding IUCLID Legal entity is found then the user will be assigned to a default/fallback Legal entity, named My LE.



```
legalEntities:
    samlAttributeName:
http://schemas.microsoft.com/ws/2008/06/identity/claims/groups
    mappings:
    - samlAttributeValue: ef469666-9752-4459-8dab-0bebe70b2f74
        iuclidValue: Test Legal Entity
    fallbacks:
    - My LE
```

Configure the SAML attribute that holds the list of values that could be mapped to IUCLID Security Groups. Specify the one-to-one mappings and provide fallback values.

In this example b97cc4dc-8d5d-425d-8ddd-c3763970a935 is the ID of the Group named Test Group for a IUCLID Group which comes from Azure AD. It is configured to map to a IUCLID Security group named Test group. If no corresponding IUCLID Security group is found then the user will be assigned to a default/fallback group, named Common.

```
groups:
    samlAttributeName:
http://schemas.microsoft.com/ws/2008/06/identity/claims/groups
mappings:
    - samlAttributeValue: b97cc4dc-8d5d-425d-8ddd-c3763970a935
          iuclidValue: Test group
          manager: true
fallbacks:
    - Common
```

Configure the URL of the SAML IDP provider

In this example it is the value of the Login URL as specified in Azure AD under SAML based SSO settings

idp.saml.provider.url: https://login.microsoftonline.com/26f31e25-c550-40cc-8af6-449e72b90388/saml2

Configure the alias of the 3rd party identity provider certificate you provided when adding it in the keystore

idp.certificate.alias: SamlSigningCertificate

Configure the location of the keystore file containing the 3rd party identity provider certificate. It is recommended to keep the below default value.

keystore.file: \${com.sun.aas.instanceRoot}/config/sso-default-third-party.jks

Configure the keystore password. Default value is admin12345 .

keystore.pass: admin12345

Configure the alias of IUCLID's own certificate. It is recommended to keep the below default value.

sp.certificate.alias: sso-sp

Configure the URL that will be used when performing log-out

slo.redirect.path: /iuclid6-web/index.html



Appendix B. Documentation of the file idp-user-sync-config.yml

Property	Description	Remarks
instanceAccess.samlAttributeName	Defines the element of the SAML response that is searched for the instance access property.	Mandatory
instanceAccess.samlAttributeValue	Defines the value that the SAML response must contain for the user to have access to the IUCLID instance.	Mandatory
userUserName.samlAttributeName	Defines the element of the SAML response that is searched for the username of the user.	Mandatory
userUserName.fallback	Defines the username that will be used if no value is present in the above element.	Optional
userFirstName.samlAttributeName	Defines the element of the SAML response that is searched for the first name of the user.	Mandatory
userFirstName.fallback	Defines the first name that will be used if no value is present in the above element.	Optional
userLastName.samlAttributeName	Defines the element of the SAML response that is searched for the last name of the user.	Mandatory
userLastName.fallback	Defines the last name that will be used if no value is present in the above element.	Optional
userEmail.samlAttributeName	Defines the element of the SAML response that is searched for the email of the user.	Mandatory



Property	Description	Remarks
userEmail.fallback	Defines the email that will be used if no value is present in the above element	Optional
roles.samlAttributeName	Defines the element of the SAML response that is searched for roles.	Mandatory
roles.mappings	Defines a set of one-to-one role mappings between the SAML response role names and the IUCLID role names.	Optional (if fallbacks are set)
<pre>roles.mappings.samlAttributeValue / roles.mappings.iuclidValue</pre>	Defines an entry of a SAML response role name and the corresponding IUCLID role to which it will be mapped.	There is one instance of these per mapping.
roles.fallbacks	Defines a list of IUCLID roles that will be used in case no mapping is provided or no iuclid roles were mapped.	Optional (if mappings are set)
groups.samlAttributeName	Defines the element of the SAML response that is searched for the roles of the user.	Mandatory
groups.mappings	Defines a set of one to one group mappings between the SAML response group names and the IUCLID security group names.	Optional (if fallbacks are set)
groups.mappings.samlAttributeValue / groups.mappings.iuclidValue	Defines an entry of a SAML response group name and the corresponding IUCLID security group to which it will mapped.	There is one instance of these per mapping.



Property	Description	Remarks
groups.fallbacks	Defines a list of IUCLID security groups that will be used if no mapping is provided or no IUCLID security groups were mapped.	Optional (if mappings are set)
legalEntities.samlAttributeName	Defines the element of the SAML response that is searched for Legal entities.	Mandatory
legalEntities.mappings	Defines a set of one to one mappings between the SAML response legal entity names and the IUCLID legal entity names.	Optional (if fallbacks are set)
<pre>legalEntities.mappings.samlAttributeValue / legalEntities.mappings.iuclidValue</pre>	Defines an entry of a SAML response legal entity name and the corresponding IUCLID legal entity to which it will mapped.	There is one instance of these per mapping. There can be more than one legal entity with the same name in a IUCLID database. All matching legal entities are assigned to the user, but the first one is set as working legal entity.
legalEntities.fallbacks	A list of IUCLID Legal Entities that are used if no mapping is provided, or no IUCLID Legal Entities were mapped.	Mandatory
idp.saml.provider.url	The URL of the external SAML provider.	Mandatory



Property	Description	Remarks
keystore.file	The path to the keystore file that contains the IDP sync certificates.	Mandatory
idp.certificate.alias	The alias of the certificate of the third-party identity provider in the keystore	Mandatory
keystore.pass	The password of the certificate of the service provider.	Mandatory (Predefined value: admin12345_)
sp.certificate.alias	The alias of the certificate of the service provider (IUCLID)	Mandatory (Default Value: sso-sp)
slo.redirect.path	The URL to which the user is redirected on logging out of IUCLID.	Optional